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27/2	A.A	3	1	3	0	4	1	8	4/1964	Head et al.		3	1		
1	A.B	4	0	2	1	8	6	3	5/1977	Woien		3	1.5		
	A.C	4 1 0 6 1 2 9 8/1978 Carentier et al.							8/1978	Carentier et al.		3	1.5		
	A.D	4 1 6 4 0 4 6 8/1979 Cooley							8/1979	Cooley		3	1.5		
	A.E	4	2	6	1	3	4	2	4/1981	Aranguren Duo		128	1R		
										Gabbay		3	1.5		
 										Johnson		3	1.5		
	A.H	4	3	4	0	9	7	7	7/1982	Brownlee et al.		3	1.5		
	A.I	4	 							Reed		3	1.5		
	A.J	4	6	0	2	9	1	1	7/1986	Ahmadi et al.		623	2		
	A.K	4	6	5								623	2		
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	A.S		rlos rger						h.D., <u>Perspec</u>	tives in Reparative Surg	ery for A	cquired Valv	ular Dise	ase, Adv	vances in Cardiac
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	Sheet 2 (B) of 4
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APPLICANT Paul A. Spence et al.	
FILING DATE October 28, 2003	GROUP Unknown

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

SUBSTITUTE FORM PTO-1449 (MODIFIED)

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200	B.A	4	9	1	7	6	8	9	4/1990	Carpentier et al.		623	2		
	B.B	4	9	6	0	4	2	4	10/1990	Grooters		623	2		
	B.C	5	0	6	1	2	7	7	10/1991	Carpentier et al.	623	2			
	B.D	5	1	0	4	4	0	7	4/1992	Lam et al.	623	2			
	B.E	5	1	6	3	9	5	5	11/1992	Love et al.	623	2.15			
	B.F	5	1	7	1	2	5	2	12/1992	Friedland		227	902		
	B.G	5	2	0	1	8	8	0	4/1993	Wright et al.		623	2		
	в.н	5	3	0	6	2	9	6	4/1994	Wright et al.		623	2		
	B.1	5	3	3	2	4	0	2	7/1994	Teitelbaum	623	2			
	B.J	5	3	6	0	4	4	4	11/1994	Kusuhara		623	2		
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U.S. DEPARTMENT OF COMMERCE

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	C.C	5	4	5	0	В	6	0	9/1995	O'Connor			128	898		
	C.D	5	5	4	5	2	1	4	8/1996	Stevens			623	2		
	C.E	5	5	4	9	6	6	5	8/1996	Vesely et al.			623	2.14		
	C.F	5	5	5	4	1	8	4	9/1996	Machiraju			623	2		
	C.G	5	5	5	4	1	8	5	9/1996	Block et al.			623	2		
	C.H	5	6	6	2	7	0	4	9/1997	Gross			623	2		
	C.I	5	6	7	4	2	7	9	10/1997	Wright et al.			623	2		
	C.J	5	7	0	9	6	9	5	1/1998	Northrup, III			606	148		
V	C.K	5	7	1	6	3	9	7	2/1998	Myers			623	2		
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Sheet 1 (A) of 4 ATTY. DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT A

INFORMATION DISCLOSURE
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24	\neg	A.L	1034753	9/2000	EP		1							
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		A.N ,	1034753	9/2000	EP									
		A.O .	0595791	5/1994	EP									
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		A.Q /	01/19292	3/2001	РСТ		,							
			OTHER	OOCUMENTS (Includ	ing Author, Title, Date, Place	of Publicatio	n)							
		A.R	Steven F. Bollin MD el	al., Surgical Alternati	ives for Heart Failure, The Jo	ournal of Hear	t and Lung Transp	olantation, July 2001						
		A.S	Steven F. Bolling, MD,	Mitral Valve Reconst	ruction in the Patient with He	eart Failure, H	leart Failure Revie	ws, 6, 2001						
1	/	A.T	Steven F. Bolling MD (et al., <u>Surgical Alterna</u>	tives for Heart Failure, The	Journal of Hea	art and Lung Trans	splantation, July						
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Sheet 2 (B) of 4 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. SUBSTITUTE FORM PTO-1449 PATENT AND TRADEMARK OFFICE SPEN-03C 10/695,380 (MODIFIED) INFORMATION DISCLOSURE **APPLICANT** Paul A. Spence et al. STATEMENT BY APPLICANT **GROUP** (Use several sheets if necessary) FILING DATE 10/28/03 3738 (37 CFR 1.98(b)) U.S. PATENT DOCUMENTS FILING DATE ISSUE **EXAMINER** IF APPROPRIATE PATENT NUMBER DATE PATENTEE **CLASS SUBCLASS** INITIAL B.A В.В B.C B.D B.E B.F B.G B.H B.I B.J B.K FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS TRANSLATION DOCUMENT **PUBLICATION COUNTRY OR** (YES/NO) SUBCLASS **CLASS** PATENT OFFICE NUMBER DATE WH-01/87191 11/2001 PCT B.L PCT 2/1995 B.M 95/03757 B.N B.O B.P B.Q OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication) Iva A. Smolens et al., Mitral Valve Repair in Heart Failure, The European Journal of Heart Failure 2, 2000 B.R J. Q. Melo et al., Atrioventricular Valve Repair Using Externally Adjustable Flexible Rings, The Journal of Thoracic and B.S Cardiovascular Surgery, November 1995 James E. Chapman Jr. et al., Adjustable Annuloplasty for Tricuspid Insufficiency, The Annals of Thoracic Surgery, Pages

12-1,-04 EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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